



FIGURE 1. Reduction of matching to flow.

One final observation is that perfect matching is not known to be complete for any natural complexity class.

**8.1.2. Primality testing.** We present the Rabin-Miller randomized algorithm for primality testing. Although a polytime (deterministic) algorithm for primality is now known (see [MA04]), randomized algorithms<sup>1</sup> are simpler and more efficient, and therefore still used in practice.

ALGORITHM 8.2 (Rabin-Miller).

On input  $(n)_b$ :

1. If  $n = 2$ , accept; if  $n$  is even and  $n > 2$ , reject.
2. Choose at random a positive  $a$  in  $\mathbb{Z}_n$ .
3. If  $a^{(n-1)} \not\equiv 1 \pmod{n}$ , reject.
4. Find  $s, h$  such that  $s$  is odd and  $n - 1 = s2^h$ .
5. Compute the sequence  $a^{s \cdot 2^0}, a^{s \cdot 2^1}, a^{s \cdot 2^2}, \dots, a^{s \cdot 2^h} \pmod{n}$ .
6. If all elements in the sequence are 1, accept.
7. If the last element different from 1 is  $-1$ , accept. Otherwise, reject.

Note that this is a polytime (randomized) algorithm: computing powers  $\pmod{n}$  can be done efficiently with repeated squaring—for example, if  $(n - 1)_b = c_r \dots c_1 c_0$ , then compute

$$a_0 \equiv_n a, a_1 \equiv_n a_0^2, a_2 \equiv_n a_1^2, \dots, a_r \equiv_n a_{r-1}^2,$$

and so  $a^{n-1} \equiv_n a_0^{c_0} a_1^{c_1} \dots a_r^{c_r}$ . Thus obtaining the powers in lines 3 and 5 is not a problem. The highest power of 2 that divides  $n - 1$  is evident from  $(n)_b$ , so line 4 is not a problem either. Finally, choosing a non-zero  $a \in \mathbb{Z}_n$  in a random way can be done by “flipping a coin” to obtain a string of bits of length  $\log n$  (to ensure that  $a$  is not zero we choose at random the position of a 1 in the string, and then generate the other bits).

<sup>1</sup>In fact it was the randomized test for primality that stirred interest in randomized computation in the late 1970’s. Historically, the first randomized algorithm for primality was given by [SS77]; a nice self-contained exposition of this algorithm can be found in [Pap94, §11.1], and another in [vzGG99, §18.5].

**THEOREM 8.3.** *If  $n$  is a prime then the Rabin-Miller algorithm accepts it; if  $n$  is composite, then the algorithm rejects it with probability  $\geq \frac{1}{2}$ .*

**PROOF.** If  $n$  is prime, then by Fermat's little theorem  $a^{(n-1)} \equiv 1 \pmod{n}$ , so line 3 cannot reject  $n$ . Suppose that line 7 rejects  $n$ ; then there exists a  $b$  in  $\mathbb{Z}_n$  such that  $b \not\equiv \pm 1 \pmod{n}$  and  $b^2 \equiv 1 \pmod{n}$ . Therefore,  $b^2 - 1 \equiv 0 \pmod{n}$ , and hence

$$(b-1)(b+1) \equiv 0 \pmod{n}.$$

Since  $b \not\equiv \pm 1 \pmod{n}$ , both  $(b-1)$  and  $(b+1)$  are strictly between 0 and  $n$ , and so a prime  $n$  cannot divide their product. This gives a contradiction, and therefore no such  $b$  exists, and so line 7 cannot reject  $n$ .

If  $n$  is an odd composite number, then we say that  $a$  is a *witness* (of compositeness) for  $n$  if the algorithm rejects on  $a$ . We show that if  $n$  is an odd composite number, then at least half of the  $a$ 's in  $\mathbb{Z}_n$  are witnesses. The distribution of those witnesses in  $\mathbb{Z}_n$  appears to be very irregular, but if we choose our  $a$  at random, we hit a witness with probability  $\geq \frac{1}{2}$ .

Because  $n$  is composite, either  $n$  is the power of an odd prime, or  $n$  is the product of two odd co-prime numbers. This yields two cases.

**Case 1.** Suppose that  $n = q^e$  where  $q$  is an odd prime and  $e > 1$ . Set  $t := 1 + q^{e-1}$ . From the binomial expansion of  $t^n$  we obtain:

$$t^n = (1 + q^{e-1})^n = 1 + nq^{e-1} + \sum_{l=2}^n \binom{n}{l} (q^{e-1})^l, \quad (24)$$

and therefore  $t^n \equiv 1 \pmod{n}$ . If  $t^{n-1} \equiv 1 \pmod{n}$ , then  $t^n \equiv t \pmod{n}$ , which from the observation about  $t$  and  $t^n$  is not possible, hence  $t$  is a line 3 witness. But the set of line 3 nonwitnesses,  $S_1 := \{a \in \mathbb{Z}_n \mid a^{(n-1)} \equiv 1 \pmod{n}\}$ , is a subgroup of  $\mathbb{Z}_n^*$ , and since it is not equal to  $\mathbb{Z}_n^*$  ( $t$  is not in it), by Lagrange's theorem  $S_1$  is at most half of  $\mathbb{Z}_n^*$ , and so it is at most half of  $\mathbb{Z}_n$ .

**Case 2.** Suppose that  $n = qr$ , where  $q, r$  are co-prime. Among all line 7 nonwitnesses, find a nonwitness for which the  $-1$  appears in the largest position in the sequence in line 5 of the algorithm (note that  $-1$  is a line 7 nonwitness, so the set of these nonwitnesses is not empty). Let  $x$  be such a nonwitness and let  $j$  be the position of  $-1$  in its sequence, where the positions are numbered starting at 0;  $x^{s \cdot 2^j} \equiv -1 \pmod{n}$  and  $x^{s \cdot 2^{j+1}} \equiv 1 \pmod{n}$ . The line 7 nonwitnesses are a subset of  $S_2 := \{a \in \mathbb{Z}_n^* \mid a^{s \cdot 2^j} \equiv \pm 1 \pmod{n}\}$ , and  $S_2$  is a subgroup of  $\mathbb{Z}_n^*$ .

By the CRT there exists  $t \in \mathbb{Z}_n$  such that

$$\begin{aligned} t &\equiv x \pmod{q} &\Rightarrow & t^{s \cdot 2^j} \equiv -1 \pmod{q} \\ t &\equiv 1 \pmod{r} && t^{s \cdot 2^j} \equiv 1 \pmod{r} \end{aligned}$$

Hence  $t$  is a witness because  $t^{s \cdot 2^j} \not\equiv \pm 1 \pmod{n}$  (see footnote<sup>2</sup>) but on the other hand  $t^{s \cdot 2^{j+1}} \equiv 1 \pmod{n}$ .

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<sup>2</sup>To see why  $t^{s \cdot 2^j} \not\equiv \pm 1 \pmod{n}$  observe the following: suppose that  $a \equiv -1 \pmod{q}$  and  $a \equiv 1 \pmod{r}$ , where  $\gcd(q, r) = 1$ . Suppose that  $n = qr \mid (a+1)$ , then  $q \mid (a+1)$  and  $r \mid (a+1)$ , and since  $r \mid (a-1)$  as well, it follows that  $r \mid [(a+1) - (a-1)]$ , so  $r \mid 2$ , so  $r = 2$ , so  $n$  must be even, which is not possible since we deal with even  $n$ 's in line 1 of the algorithm.

Therefore, just as in case 1, we have constructed a  $t \in \mathbb{Z}_n^*$  which is not in  $S_2$ , and so  $S_2$  can be at most half of  $\mathbb{Z}_n^*$ , and so at least half of the elements in  $\mathbb{Z}_n$  are witnesses.  $\square$

EXERCISE 8.4. *First show that the sets  $S_1$  and  $S_2$  (in the proof of theorem 8.3) are indeed a subgroups of  $\mathbb{Z}_n^*$ , and that in case 2 all nonwitnesses are contained in  $S_2$ . Then show that at least half of the elements of  $\mathbb{Z}_n$  are witnesses when  $n$  is composite, without using group theory.*

Note that by running the algorithm  $k$  times on independently chosen  $a$ , we can make sure that it rejects a composite with probability  $\geq 1 - \frac{1}{2^k}$  (it will always accept a prime with probability 1). Thus, for  $k = 100$  the probability of error, i.e., of a false positive, is negligible.

Thus we have a Monte Carlo algorithm for composites, and therefore  $\text{PRIMES} = \{(n)_b \mid n \text{ is prime}\} \in \text{co-RP}$ . Here  $(n)_b$  denotes the binary encoding of the number  $n$ ; see §8.2 for a definition of  $\text{co-RP}$ .

**8.1.3. Pattern matching.** In this section we design a randomized algorithm for pattern matching. Consider the set of strings over  $\{0, 1\}$ , and let  $M : \{0, 1\}^* \rightarrow M_{2 \times 2}(\mathbb{Z})$ , that is,  $M$  is a map from strings to  $2 \times 2$  matrices over the integers ( $\mathbb{Z}$ ) defined as follows:

$$M(\varepsilon) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}; \quad M(0) = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}; \quad M(1) = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

and for strings  $x, y \in \{0, 1\}^*$ ,  $M(xy) = M(x)M(y)$ , where the operation on the LHS is concatenation of strings, and the operation on the RHS is multiplication of matrices.

First of all,  $M(x)$  is well defined because matrix multiplication is associative, and second of all,  $M(x) = M(y)$  implies that  $x = y$  (i.e., the map  $M$  is 1-1). Given  $M = M(x)$  we can “decode”  $x$  uniquely as follows: if the first column of  $M$  is greater than the second (where the comparison is made component-wise), then the last bit of  $x$  is zero, and otherwise it is 1. Let  $M'$  be  $M$  where we subtract the smaller column from the larger, and repeat.

For  $x \in \{0, 1\}^n$ , the entries of  $M(x)$  are bounded by Fibonacci number  $F_n$ . Let  $F_0 = F_1 = 1$ , and  $F_n = F_{n-1} + F_{n-2}$  for  $n > 1$ . For a given string  $x$ ,  $M(x_1x_2 \dots x_n)$  is such that the “smaller” column is bounded by  $F_{n-1}$  and the “larger” column is bounded by  $F_n$ . We can show this inductively: the basis case,  $x = x_1$ , is obvious. For the inductive step, assume it holds for  $x \in \{0, 1\}^n$ , and show it still holds for  $x \in \{0, 1\}^{n+1}$ : this is obvious as whether  $x_{n+1}$  is 0 or 1, one column is added to the other, and the other column remains unchanged.

By considering the matrices  $M(x)$  modulo a suitable prime  $p$ , we perform efficient randomized pattern matching. We wish to determine whether  $x$  is a substring of  $y$ , where  $|x| = n$ ,  $|y| = m$ ,  $n \leq m$ . Let  $y(i) = y_i y_{i+1} \dots y_{n+i-1}$ , for appropriate  $i$ 's. Select a prime  $p \in \{1, \dots, nm^2\}$ , and let  $A = M(x) \pmod{p}$  and  $A(i) \equiv M(y(i)) \pmod{p}$ . Note that

$$A(i+1) \equiv M^{-1}(y_i)A(i)M(y_{n+i}) \pmod{p},$$

which makes the computation of subsequent  $A(i)$ 's efficient.